

031104  
17264 U.S. PTO

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

17510 U.S. PTO  
10/798820  
031104

Attorney Docket No.:	To Be Assigned
First Named Inventor:	Shinichiro Tamura
Title:	Optical Recording Medium
Express Mail Label No.	EV 343252971 US
Date of Deposit:	Herewith

APPLICATION ELEMENTS	ADDRESS TO:
See MPEP chapter 600 concerning utility patent application contents.	MAIL STOP PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

1. ☒ This Form includes the Fee Transmittal (See Box 19)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status. (See 37 CFR 1.27)
3. ☒ Specification (including title page) *Total Pages* 97
4. ☒ Drawing(s) (35 USC 113) *Total Sheets* 7
5. ☒ Declaration and Power of Attorney [*Total Pages* 5
 

a. ☐ Newly executed (original or copy)

b. ☒ Copy from a prior application (37 CFR 1.63(d))  
 (for continuation/divisional with Box 18 completed)

i. ☐ DELETION OF INVENTOR(S)  
 Signed statement attached deleting inventor(s)  
 named in the prior application, see 37 CFR  
 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate

8. ☐ Nucleotide and/or Amino Acid Sequence Submission
 

a. ☐ Computer Readable Form (CRF)

b. ☐ Specification Sequence Listing on:
 

i. ☐ CD-ROM or CD-R in duplicate; or
 

ii. ☐ paper

c. ☐ Statement verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
15. ☐ Certified copy of \_\_\_\_\_ Priority Document:  
Document No. \_\_\_\_\_, filed on \_\_\_\_\_.
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(I).  
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation   
 ☒ Divisional   
 ☐ Continuation-in-part (CIP)

*of prior application no.:* 09/704,968

*Prior application information:*     
 *Examiner Name:* E. Huang     
 *Group Art Unit:* 1625

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. **FEE CALCULATIONS:**

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE
					\$770.00
	TOTAL CLAIMS	32	12	\$18.00	\$216.00
	INDEPENDENT CLAIMS	4	1	\$86.00	\$86.00
	ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			\$	\$0
				SUBTOTAL	\$1,072.00

a. ☐ In connection with this application, the Commissioner is hereby authorized to credit overpayments or to charge any additional fee required to Deposit Account No. 19-3140. **A duplicate copy of this sheet is enclosed.**

b. ☒ The enclosed credit card payment form to charge the amount of \$942.00 covers the total claim fee and recordation fee.

20. ☒ **CUSTOMER NO. 26263**

Dated: March 10, 2004

SIGNATURE:

W. John Keyes, (Reg. No. 54,218)